



Case Docket No. GNE.2030R169  
Date: April 16, 2003

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Botstein, et al. ) I hereby certify that this correspondence and all  
Appl. No. : 10/033,223 ) marked attachments are being deposited with the  
Filed : December 27, 2001 ) United States Postal Service as first class mail in  
For : SECRETED AND ) an envelope addressed to: United States Patent  
TRANSMEMBRANE ) and Trademark Office, P.O. Box 2327,  
POLYPEPTIDES AND ) Arlington, VA 22202, on  
NUCLEIC ACIDS ENCODING )  
THE SAME )  
Examiner : Unknown )  
Group Art Unit : 1656 )  
\_\_\_\_\_  
April 9, 2003  
(Date)  
  
Sam K. Tahmassebi, Reg. No. 45,151

**TRANSMITTAL LETTER**

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with fourteen (14) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 07-0630.
- (X) Return prepaid postcard.

Sam K. Tahmassebi  
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GNE.2930R1C9



PATENT  
TECH CENTER 1699/2900  
APR 22 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	:	Botstein, et al.	)	Group Art Unit 1656
App. No.	:	10/033,223	)	)
Filed	:	December 27, 2001	)	)
For	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	)	)
Examiner	:	Unknown	)	)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Appl. No. : 10/033,223  
Filed : December 27, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/9/03

By: Sam K. Tahmassebi

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GNE.2930R1C9	APPLICATION NO. 10/033,223
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Botstein, et al.	
		FILING DATE December 27, 2001	GROUP 1656

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1		5,831,058	11/03/98	Fujiwara et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
2		WO 98 45437	10/15/98	PCT			
3		WO 00/04140	01/27/00	PCT			
4		WO 00 58472	10/05/00	PCT			
5		WO 01/29221	04/26/01	PCT			
6		WO 01 02568	01/11/01	PCT			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
7	Database EMBL 'Online! Entry HSA24389, 08/14/96, Hillier, L. et al., "ze74b01.r1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA clone IMAGE: 364681 5', mRNA sequence." Database accession no. AA024389 XP002230500
8	Database EMBL 'Online! Entry HSA25266, 08/14/96, Hillier, L. et al. "ze74b01.s1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA cline IMAGE:364681 3', mRNA sequence." Database accession no. AA025266 XP002230501
9	Database EMBL [Online] md78c02.r1 Soares mouse embryo NbME1cDNA clone (06/22/96) Marra et al., "The WashU-HHMI Mouse EST Protect" Database accession no. MM96732 XP002232923
10	Database EBI 'Online! (08/19/98) Strausberg R. "qa12e07.x1 NCI_CGAP_Brn23 Homo sapiens cDNA clone Image:1686564 3' similar to contains TAR1.b2 MSR1 repetitive element;, mRNA sequence." Database accession no. AI088845 XP002226252
11	Database EMBL [Online] (01/11/99) Hillier, L. et al. "ao87d07.x1 Schiller meningioma Homo sapiens cDNA clone IMAGE:1952845 3' similar to contains element TAR1 repetitive element;, mRNA sequence." Database accession no. AI366107 XP002230801
12	Database EMBL [Online] (01/13/99) Strausberg R. "qz48e01.x1 NCI-CGAP-Kid11 Homo sapiens cDNA clone IMAGE:2030136 3', mRNA sequence." Database accession no. AI373244 XP002230802
13	Klein, et al. Selection for genes encoding secreted proteins and receptors, Proc. Natl. Acad. Sci. USA 93:7108-7113 (1996)
14	Tashiro, et al., Signal sequence trap: a cloning strategy for secreted proteins and type 1 membrane proteins, Science 261:600-603 (1993)

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	